



Highway Safety Improvement Program
Data Driven Decisions

New York
Highway Safety Improvement Program
2016 Annual Report

Prepared by: NY

Disclaimer

Protection of Data from Discovery & Admission into Evidence

23 U.S.C. 148(h)(4) states “Notwithstanding any other provision of law, reports, surveys, schedules, lists, or data compiled or collected for any purpose relating to this section [HSIP], shall not be subject to discovery or admitted into evidence in a Federal or State court proceeding or considered for other purposes in any action for damages arising from any occurrence at a location identified or addressed in the reports, surveys, schedules, lists, or other data.”

23 U.S.C. 409 states “Notwithstanding any other provision of law, reports, surveys, schedules, lists, or data compiled or collected for the purpose of identifying, evaluating, or planning the safety enhancement of potential accident sites, hazardous roadway conditions, or railway-highway crossings, pursuant to sections 130, 144, and 148 of this title or for the purpose of developing any highway safety construction improvement project which may be implemented utilizing Federal-aid highway funds shall not be subject to discovery or admitted into evidence in a Federal or State court proceeding or considered for other purposes in any action for damages arising from any occurrence at a location mentioned or addressed in such reports, surveys, schedules, lists, or data.”

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Executive Summary

This report is intended to satisfy reporting requirements under Section 148 of the Title 23, United States Code (23 U.S.C. 148) regulated under 23 CFE 924. MAP-21 and FastAct reinforces the importance of the Highway Safety Improvement Program (HSIP). The goal of the program is to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State Department of Transportation's core program to proactively identify and correct high accident locations and progress safety projects that facilitate the goal of the program.

Emphasis Areas

The New York State Department of Transportation continues to concentrate on the emphasis areas outlined in the Strategic Highway Safety Plan (SHSP) including pedestrian safety, improving safety at highway intersections, decreasing the number of crashes resulting from lane departures and enhancing safety in work zones. Site specific projects at high accident locations as well as low cost safety measures implemented widely across the network such as Center Line Audible Roadway Delineators (CARDS) and Pedestrian Countdown Timers are being implemented to meet crash goals.

HSIP Fund Administration

NYSDOT is using a hybrid approach to manage the Highway Safety Improvement Program which was essentially doubled in size under MAP-21. In FFY15, approximately half of the funds were provided to the NYSDOT regions according to existing safety planning target formulas. The remaining half was administrated centrally by the Statewide Safety and System Optimization Team (SSO) who oversee a statewide solicitation for regionally significant safety projects. The statewide solicitation program funds the most cost effective safety projects and directs HSIP funds where they are the most needed regardless of ownership, mode or geographic restriction. In FFY13 and FFY14, the statewide program funded 10 local and 27 state projects for a total of approximately \$83M. The FFY15-17 Statewide program is funding 14 local projects and 25 state projects for a total of about \$82M for the 3 federal fiscal years. The FFY16-20 program, funded 38 projects; both local and state for a total of approximately \$112.3M in HSIP funds.

All Public Roads

The mandate to address the safety of *all public roads* has broadened the scope of work of the Department of Transportation and our partners, requiring a greater focus on key "priority result" or "emphasis" areas in order to utilize our fiscal and staff resources to greatest effect. The following initiatives support the "all public roads" mandate.

- Locally owned and state owned projects compete equally for funds in the statewide solicitation program.
- Crash data on the local system is available through New York's Safety Information Management Systems (SIMS).
- A local GIS route system is in progress with plans for a 2017 completion.
- Enhancements to the Accident Location Information System (ALIS), the Safety Information Management System (SIMS) and a new Enterprise Linear Referencing System (ELRS) will provide functionality that allows safety problem identification and countermeasures analysis to be done on the local system in a similar way as the state system.
- Additional traffic counts are being taken on local roads.

Performance Indicators

The FastAct integrates performance into the HSIP program. The number of fatalities and serious injuries and their associated rates have been on a general downward trend over the last 10 years as can be seen below.

| Annual - Crash Frequency and Rates | | | | | | | | | | |
|---|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 |
| Number of Fatalities | 1,454 | 1,332 | 1,238 | 1,158 | 1,201 | 1,171 | 1,180 | 1,202 | 1,039 | 1,090 |
| Number of Serious Injuries | 13,174 | 13,280 | 13,900 | 12,988 | 12,802 | 12,012 | 12,163 | 11,609 | 10,874 | 11,309 |
| Fatality Rate | 1.03 | 0.97 | 0.92 | 0.87 | 0.92 | 0.92 | 0.92 | 0.93 | 0.80 | 0.86 |
| Serious Injury Rate | 9.66 | 10.01 | 10 | 10.16 | 10.19 | 9.79 | 9.92 | 9.95 | 8.24 | 8.89 |
| 5yr rolling average - Crash Frequency and Rates | | | | | | | | | | |

| | 2011 | 2012 | 2013 | 2014 | 2015 | | | | | |
|----------------------------|--------|--------|--------|--------|--------|--|--|--|--|--|
| Number of Fatalities | 1,220 | 1,190 | 1,182 | 1,159 | 1,136 | | | | | |
| Number of Serious Injuries | 12,796 | 12,578 | 12,315 | 11,892 | 11,593 | | | | | |
| Fatality Rate | 0.92 | 0.91 | 0.91 | 0.9 | 0.89 | | | | | |
| Serious Injury Rate | 9.65 | 9.61 | 9.47 | 9.21 | 9.03 | | | | | |

Data Sources:

Fatality Data 2006-2014: FARS/HSSP Reports, 2015: AIS/ITSMR Reports (preliminary)

Injury Data 2006-2014: AIS/ITSMR Reports, 2015: SIMS (preliminary)

Fatality and Injury Data for 2015 is preliminary throughout the report

Programmed and Obligated Funds (question 17)

Programmed and obligated funds for question #17 were derived from NYSDOTs PSS system.

Programmed funds included those where the status was actual, approved or planned. Obligated funds included those where the status was actual or approved.

Crash Statistics by Functional Classification and Ownership (question 25)

The number of crashes by functional classification and ownership are very general estimates. Functional Classification and Ownership are not available on crash reports. Therefore a spatial join was used to join the crash data to the inventory data in GIS. Since the linear referencing system is not yet available for the local system the majority of crashes on the local system will show up in the "Other" category using this method. Vehicle Miles Traveled (VMT) is not available by functional classification and ownership. Therefore, rates by functional classification and ownership were not provided.

Introduction

The Highway Safety Improvement Program (HSIP) is a core Federal-aid program with the purpose of achieving a significant reduction in fatalities and serious injuries on all public roads. As per 23 U.S.C. 148(h) and 23 CFR 924.15, States are required to report annually on the progress being made to advance HSIP implementation and evaluation efforts. The format of this report is consistent with the HSIP MAP-21 Reporting Guidance dated February 13, 2013 and consists of four sections: program structure, progress in implementing HSIP projects, progress in achieving safety performance targets, and assessment of the effectiveness of the improvements.

Program Structure

Program Administration

How are Highway Safety Improvement Program funds allocated in a State?

Other-- In FFY15, approximately 50% of the HSIP funds were provided to the Regions according to a safety planning target formula. Most of the remaining funds were allocated to projects via a competitive application process.

Describe how local roads are addressed as part of Highway Safety Improvement Program.

Safety projects on all public roads in New York State including local roads are eligible to receive HSIP funds. In FFY15 approximately 50% of the available HSIP funds were allocated to the 11 regions in New York state based on a formula that included VMT, population and crashes. Fifty percent of the Region 11 allocation was provided to New York City for safety projects on local roads owned by New York City. The competitive application component of the HSIP program in New York State awarded funding to 16 local projects to be let between FFY 2016 - FFY 20 for a total of about \$40.5M in HSIP funding. In addition, 100 Capital Projects and/or Safety Capital Projects contained a local roads component. Approximately \$12.8 million was spent in local funds on safety projects in 2015. Project improvements on local projects by type in 2015 are shown below.

| Safety Improvement | Number of Projects |
|--|---------------------------|
| Pedestrian (non-SRTS) | 31 |
| Bicycle | 5 |
| Highway Reconstruction/Widening/Overlay/New Construction | 7 |
| Intersection & Interchange Improvements | 8 |
| Traffic Signal Improvements | 15 |
| Pavement Markings/Resurfacing | 4 |
| Shared Path Usage | 4 |
| Signing | 2 |
| Clear Zone/Median barrier | 1 |
| Alignment | 8 |
| RR Crossings | 15 |

Identify which internal partners are involved with Highway Safety Improvement Program planning.

Design
 Planning
 Maintenance
 Operations
 Governors Highway Safety Office

Briefly describe coordination with internal partners.

The New York State Department of Transportation formed a Statewide Safety System and Optimization team (SSO) with expertise in highway safety and system optimization. The multi disciplinary team is comprised of members from various Division and Regional Offices including Safety Program Management and Coordination, System Optimization, Local Programs, Integrated Modal Services, Planning, Design and Transportation Maintenance. The SSO team is responsible for the following:

- Providing long term guidance on safety and system optimization to ensure consistency with program update strategies;
- Providing clarification and guidance to the 11 NYSDOT regions;
- Developing technical guidance for safety strategies described in the program update;
- Developing support materials for NYSDOT Regions in preparing safety program proposals;
- Reviewing safety program proposals; and
- Monitoring regional programs over the life of the program to ensure safety and optimization goals are met.

Identify which external partners are involved with Highway Safety Improvement Program planning.

Metropolitan Planning Organizations
 Governors Highway Safety Office
 Local Government Association
 Other-New York State Department of Health

Identify any program administration practices used to implement the HSIP that have changed since the last reporting period.

Other-There have been no changes to the HSIP administration process since the last reporting period.

Describe any other aspects of Highway Safety Improvement Program Administration on which you would like to elaborate.

NYSDOT is continuing to use a hybrid approach to manage the Highway Safety Improvement Program (HSIP) which has essentially doubled in size under MAP-21 and FastAct. Approximately half of the funds have been provided to the NYSDOT Regions according to existing safety planning target formulas. The remaining half is being administered centrally through competitive initiatives managed by the Statewide Safety and System Optimization Team. The competitive projects are selected via a statewide application process. The statewide solicitations support safety specific projects that direct safety funds where they are most needed by targeting locations, corridors, or areas demonstrating an advantageous benefit-cost ratio to reduce fatal and severe injury crashes. Funding has been awarded based on an evaluation of these projects to maximize investment in the most cost-effective safety projects. Successful proposals are consistent with the strategies and emphasis areas identified in the NYS Strategic Highway Safety Plan.

Program Methodology**Select the programs that are administered under the HSIP.**

| | | |
|----------------------------------|----------------------|----------------------------|
| Intersection | Safe Corridor | Horizontal Curve |
| Bicycle Safety | Rural State Highways | Skid Hazard |
| Crash Data | Roadway Departure | Low-Cost Spot Improvements |
| Sign Replacement And Improvement | Local Safety | Pedestrian Safety |
| Right Angle Crash | Segments | |

Program: Intersection
Date of Program Methodology: 11/1/1989

What data types were used in the program methodology?

| | | |
|---|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |
| Other-Priority Investigation Locations (PILS) | | |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes
 If yes, are local road projects identified using the same methodology as state roads?
 No
 If no, describe the methodology used to identify local road projects as part of this program.
 Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 Other-Priority Investigation Locations (PILS) are identified where the crash rate is greater than the average for a similar road type. An annual work program is developed to investigate a percentage of the PILS and recommend safety counter measures.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Safe Corridor

Date of Program Methodology: 1/1/2012

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |
| Other-Priority Investigation | | |
| Locations (PILS) | | |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Horizontal Curve

Date of Program Methodology: 11/1/1989

What data types were used in the program methodology?

| | | |
|----------------|-----------------|----------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
|----------------|-----------------|----------------|

| | | |
|------------------------------|--------|----------------------|
| All crashes | Volume | Median width |
| Other-Priority Investigation | | Horizontal curvature |
| Locations | | Roadside features |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes
 If yes, are local road projects identified using the same methodology as state roads?
 No
 If no, describe the methodology used to identify local road projects as part of this program.
 Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 selection committee
 Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Bicycle Safety
Date of Program Methodology: 1/1/2010

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |
| Other-Priority Investigation | Population | |
| Locations (PILS) | | Roadside features |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes
 If yes, are local road projects identified using the same methodology as state roads?
 No
 If no, describe the methodology used to identify local road projects as part of this program.
 Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 selection committee
 Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Rural State Highways

Date of Program Methodology: 1/1/2010

What data types were used in the program methodology?

| | | |
|---|-----------------|----------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | |
| Other-Priority Investigation Locations (PILS) | | |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate

Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process

selection committee

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Skid Hazard

Date of Program Methodology: 1/1/1995

What data types were used in the program methodology?

| | | |
|----------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |

Other- Locations are identified where the percentage of wet road accidents is twice the normal proportion for the same county and facility type.

Other-Priority Investigation Locations (PILS)

What project identification methodology was used for this program?

Crash frequency

Relative severity index

Crash rate

Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

No

How are highway safety improvement projects advanced for implementation?

Other-Locations with >= twice the normal percentage of wet road crashes are identified and friction tested. Tested locations which demonstrate one or more low friction test numbers (FN40 of 32) are treated.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Locations with low friction test numbers (FN40 of 32) require treatment.

Program: Crash Data
Date of Program Methodology: 1/1/1989

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Median width |
| | | Horizontal curvature |
| | | Functional classification |
| Other-Priority Investigation | | Roadside features |
| Locations (PILS) | | |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.
 Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process

selection committee

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Roadway Departure

Date of Program Methodology: 1/1/1989

What data types were used in the program methodology?

| | | |
|---|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Median width |
| | | Horizontal curvature |
| | | Functional classification |
| Other-Priority Investigation Locations (PILS) | | Roadside features |

What project identification methodology was used for this program?

Crash frequency

Relative severity index

Crash rate

Excess proportions of specific crash types

Other- CARDS are recommended for projects that will put >=40 mm of asphalt and meet the following:

- 1) there is no raised median or TWLTL, 2) the CARD quantity is >=1500'; 3) the posted speed >=45 mph; 4) the AADT >=2,000; and 4) the roadway width >=13'.

Other-High risk factors for roadway departure crashes were identified in a statewide systemic analysis.

Additional systemic programs will be investigated in the upcoming years to decrease roadway departures.

Other-New York is currently working on a Lane Departure Action Plan. The plan will identify specific countermeasures for implementation under specific roadway conditions to decrease the number of lane departure crashes.

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local projects are usually identified when a municipality informs DOT of a safety issue or through MPO planning. Data that shows a safety issue is required to receive funding however a detailed analysis that identifies high accident locations is not.

How are highway safety improvement projects advanced for implementation?

Competitive application process

Other- Regional HSIP projects based on recommendation noted above.

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

- Ranking based on B/C 2
- Available funding 1
- Ranking based on net benefit 2
- Cost Effectiveness 2
- CARDS projects are selected regionally based upon priority and availability of funding or via a statewide competitive application process.

Program: Low-Cost Spot Improvements

Date of Program Methodology: 1/1/1999

What data types were used in the program methodology?

| | | |
|---|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Median width |
| | | Horizontal curvature |
| | | Functional classification |
| Other-Priority Investigation Locations (PILS) | | Roadside features |

What project identification methodology was used for this program?

Crash frequency

Relative severity index

Crash rate

Excess proportions of specific crash types

Other-A project review and windshield survey is conducted as required by the SAFETAP program.

Qualified staff decide upon the safety work to be done before, during and after construction to ensure safety is incorporated into maintenance projects.

Other-Low cost spot improvements are often recommended as a result of a highway safety investigation.

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process

Other- Many nominal safety improvements are incorporated into maintenance work

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|---|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |
| Many nominal safety items are incorporated into maintenance activities. | |

Program: Sign Replacement And Improvement

Date of Program Methodology: 1/1/1995

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |
| Other-Priority Investigation | | |

Locations (PILS)

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types
 Other-Signs needing improvement can be identified during a SAFETAP review or a Highway Safety Investigation. Some regions have implemented a replacement program where signs are replaced on a defined schedule.

Are local roads (non-state owned and operated) included or addressed in this program?

Yes
 If yes, are local road projects identified using the same methodology as state roads?
 No
 If no, describe the methodology used to identify local road projects as part of this program.
 Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 selection committee
 Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Local Safety

Date of Program Methodology: 1/1/2013

What data types were used in the program methodology?

| | | |
|----------------|-----------------|----------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | |

What project identification methodology was used for this program?

Crash frequency

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local roads are always eligible for HSIP. Local roads are typically identified via local authorities or municipalities.

How are highway safety improvement projects advanced for implementation?

Competitive application process

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Pedestrian Safety**Date of Program Methodology:** 11/1/1989**What data types were used in the program methodology?**

| | | |
|---|-----------------|--|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| | Volume | Median width |
| | Population | Horizontal curvature |
| | | Functional classification |
| Other-Crashes involving pedestrians | | Roadside features |
| Other-Priority Investigation Locations (PILS) | | Other-Intersection features; crosswalk features; pedestrian islands etc. |

What project identification methodology was used for this program?

Crash frequency

Relative severity index

Crash rate

Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process

selection committee

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Right Angle Crash

Date of Program Methodology: 1/1/1989

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|------------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Volume | Functional classification |
| Other-Priority Investigation | | Other-Intersection features; |
| Locations (PILS) | | speed limit etc. |

What project identification methodology was used for this program?

Crash frequency

Relative severity index

Crash rate

Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities and the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 selection committee

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

Program: Segments
Date of Program Methodology: 11/1/1989

What data types were used in the program methodology?

| | | |
|------------------------------|-----------------|---------------------------|
| <i>Crashes</i> | <i>Exposure</i> | <i>Roadway</i> |
| All crashes | Traffic | Median width |
| | Volume | Horizontal curvature |
| Other-Priority Investigation | | Functional classification |
| Locations (PILS) | | Roadside features |

What project identification methodology was used for this program?

Crash frequency
 Relative severity index
 Crash rate
 Excess proportions of specific crash types

Are local roads (non-state owned and operated) included or addressed in this program?

Yes

If yes, are local road projects identified using the same methodology as state roads?

No

If no, describe the methodology used to identify local road projects as part of this program.

Local road projects are typically identified via local municipalities or through the MPO planning process.

How are highway safety improvement projects advanced for implementation?

Competitive application process
 selection committee

Other-The Priority Investigation Location process mentioned above.

Select the processes used to prioritize projects for implementation. For the methods selected, indicate the relative importance of each process in project prioritization. Enter either the weights or numerical rankings. If weights are entered, the sum must equal 100. If ranks are entered, indicate ties by giving both processes the same rank and skip the next highest rank (as an example: 1, 2, 2, 4).

Rank of Priority Consideration

| | |
|----------------------|---|
| Ranking based on B/C | 2 |
| Available funding | 1 |
| Cost Effectiveness | 2 |

What proportion of highway safety improvement program funds address systemic improvements?

15%

Highway safety improvement program funds are used to address which of the following systemic improvements?

Rumble Strips

Other-Pedestrian Countdown Timers

Other-Signalized intersection improvements

Other-Pedestrian crosswalk improvements

Other-The Pedestrian Safety Action Plan includes systemic improvements at thousands of intersections and mid block crosswalks. Therefore the percentage of funds that address systemic improvements is expected to increase between 2017-2021.

Other-The 15% proportion above includes projects in the statewide solicitation program only.

What process is used to identify potential countermeasures?

Engineering Study

Road Safety Assessment

Other-Risk Factors

Identify any program methodology practices used to implement the HSIP that have changed since the last reporting period.

Other-Additional statewide competitive safety program for projects that can be let between FFY16-FFY20.

Other-Released a Pedestrian Safety Action Plan that will implement systemic countermeasures at thousands of locations in urban areas.

Describe any other aspects of the Highway Safety Improvement Program methodology on which you would like to elaborate.

Improving highway safety for the traveling public is defined as a key emphasis area in New York State's Strategic Highway Safety Plan and continues to be a high priority at NYSDOT. Safety objectives defined in the plan include improving safety for pedestrians, improving data analysis tools and capabilities, improving the design and operation of highway intersections, decreasing fatalities resulting from travel lane departures and improving work zone safety.

I. Pedestrian Safety

Each year, pedestrians are involved in over one-quarter of the fatal motor vehicle crashes that occur on New York State roadways. NYSDOT continues to look for solutions to improve the safety of all roadway users including pedestrians.

Pedestrian Safety Action Plan

In June of 2016, NYSDOT announced its first ever statewide Pedestrian Safety Action Plan. The plan includes Engineering, Education and Enforcement measures to improve pedestrian safety. Engineering improvements include the implementation of systemic countermeasures at thousands of signalized intersections and mid-block crosswalks in urban areas between 2016 and 2021. The plan also includes pedestrian improvements at 5 new pedestrian corridors in the state (locations to be determined) as well as adding a pedestrian focus to NYSDOT's annual regional work program. The work programs study 20% of the identified Priority Investigation Locations (PILs) each year in order to determine what improvements can be made to improve safety.

Safer Corridors for Pedestrians

In 2012 NYSDOT began developing a process to evaluate corridors to improve pedestrian safety. To maximize effectiveness, the process emphasizes coordination among the Department and other local, state and federal partners. Solutions involve not only engineering measures, but also enforcement campaigns and educational efforts.

The first project conducted was on the Hempstead Turnpike on Long Island. After a detailed study, improvements included: remarking and widening crosswalks, increasing pedestrian crossing times at signals, adding new crosswalks, adding latching pedestrian buttons, adding new signals, signal timing

changes, bus stop re-locations and the installation of raised medians. These improvements were done in conjunction with an enforcement blitz and education campaign targeting the contributing behaviors determined in the crash data review. The department is also working on improvements to the entire Sunrise Highway (Route 27) and Route 110 corridors; Route 5/Central Avenue and Hoosick Street (Route 7) in the Albany Capital District and Route 59 in Rockland County. The improvements and action plans are expected to be very similar to what is being done on Route 24 (Hempstead Turnpike).

Complete Streets

On a statewide basis, the New York State Department of Transportation continues to apply Complete Street provisions in its project planning, programming and delivery processes. Complete Street design must be considered for county and local transportation projects that NYSDOT undertakes or for projects that receive federal and state funding and have NYSDOT oversight. Complete streets are designed and operated to enable safe access for all users including pedestrians, bicyclists, motorists and transit riders of all ages and abilities. An important component of the Complete Streets framework is "Complete Streets Checklist" which is used by planners and designers to identify a need for current or future pedestrian accommodations in our projects.

II. Improving Data Analysis Tools and Capabilities

This report is based on crash data from the Fatality Accident Reporting System (FARS), NYSDOT's Safety Information System (SIMS) and NYSDMV's Accident Information System (AIS). Crash records and roadway characteristics are analyzed to identify Priority Investigation Locations (PIL's). A subset of PILS are investigated every year for the purpose of identifying safety improvements. Crash data has traditionally included fatal, injury, property damage crashes over \$1,000 (reportable PDO) and property damage accidents under \$1,000 (non-reportable). Additional factors used in developing the Priority Investigation Locations (PIL's) list are traffic volumes, divided or undivided and the number of travel lanes. All PIL's studied are on the "State System" with the exception of some New York City locations.

Status of Crash Data

The Department continues to partner with the NYS Department of Motor Vehicles (NYSDMV), the Governor's Traffic Safety Committee, State Police and other key stakeholders to mutually re-engineer the accident and traffic violation records systems to address New York's data information needs. The State continues to use a strategic planning approach to improve its various information systems as articulated in the State's Traffic Safety Information Systems Strategic Plan. The status of improvements that directly affect the Department's Safety Information Management System (SIMS) are:

Crash Records

The fatal, injury, and electronically submitted Property Damage Only (PDO) crash data is complete through 12/31/15. The policies surrounding the processing of PDO crashes have changed from year to year. Therefore, it is not possible to compare PDO crash data from year to year.

Traffic & Criminal Software (TraCS)

New York State continues as an active participating state in the development and further refinement of the nationally developed software for electronic collection of ticket and traffic records. Use and Dissemination Agreements for use of the software have been signed by more than 481 different police

agencies across the state in 57 counties. This represents more than one-third of all law enforcement agencies in NYS who have committed to using the software. As of April 12, 2016, 481 agencies are transmitting data through the TraCS system. This number will increase steadily as the software is deployed to additional agencies in future years. Consistent funding will be vital to achieving this goal. The software will reduce the workload at NYSDMV decreasing the time it takes to process each crash report. An upgrade was implemented to the "Spider" process which improves the data transmittal and processing between the State Police and all ticket and crash data users. In addition, there is an ongoing upgrade to the TraCS software which should help to improve data quality and reduce errors.

Post-Implementation Evaluation System (PIES)

The Post-Implementation Evaluation System (PIES) allows for actual before and after project evaluations. The system allows for: verification that projected accident reductions reported as part of the Department's safety goal are reasonable and accurate; quantitative measurements of the effectiveness of the Department's overall capital program in improving highway safety (reducing accidents and safety benefit cost ratio); continued development of new accident reduction factors for accident countermeasures (shoulder rumble strips, roundabouts, and pavement surface treatments); and ensures that the mandated requirements are met. Updated PIES reduction factors were published this year.

Accident Location Information System (ALIS)

ALIS is a GIS web based accident location analysis tool that allows for geographic based crash analysis. This tool is available to all DOT employees, MPO's, and county and local governments. All the MPO's as well as New York City are using the analysis tool. In 2015 the analysis tool was upgraded to improve performance and update the reporting functions to better align with the Highway Safety Improvement Program process. New functionality for creating collision diagrams was added in 2015 and a new tool for accident rate queries should be in production by the end of 2016.

An ALIS data production project coded up to 237,000 intersections into the ALIS system. Fifty-seven out of sixty-two counties are complete.

ALIS Upgrades: NYSDOT continues to add functionality and update reports to better suit the user needs. A collision diagram application within ALIS was released. An accident rate tool has been designed and built and waiting on a final LRS to conflate legacy data for use with the new tool. When in production, new crashes will automatically be located to the linear referencing system and highway attributes will be captured for any future analysis or datasets.

Requirements and information is being gathered for a new Safety Data Warehouse.

Enterprise Linear Referencing System (ELRS)

The roads and highways implementation contract was approved in July 2013. The goal of the project is to build a statewide linear referencing network with maintenance workflows that are sustainable and integrate NYS business systems with the Enterprise Linear Referencing System. This will enhance the ability to perform crash analysis on all public roads. This has been moved to production with the Federal Aid Eligible roads built in the LRS.

Funding was requested for a new project which will upgrade ALIS; include more SIMS functionality and implement the HSM methodology within ALIS. The project will also integrate safety systems with Roads and Highways and Agile Assets for both accessing data and providing it to other systems.

All Public Roads

FastAct requires that as part of a State's Highway Safety Improvement Program, a State shall have in place a safety data system with the ability to perform safety problem identification and countermeasure analysis to improve the timeliness, accuracy, completeness, uniformity, integration, and accessibility of the safety data on all public roads, including non-State owned public roads and roads on tribal land. A major element toward reaching this goal is the development of local crash rates in order to conduct equitable safety analysis for both the state and local systems. In addition, NY needs to address the issue of advancing the capabilities of our traffic records system for data collection, analysis, and integration with other sources of safety data. The State continues to use a number of methods to evaluate how to reach the goal of developing and maintaining crash data for all public roads.

Accessing Crash Data

The Department currently has the ability to access crash data on both the state and the local system through the Accident Location Information System. The Department's GIS based web application allows users to create Ad-Hoc queries on any public road for any time period; review MV104 data and diagrams, and produce a number of different types of reports. There are additional statistical filters available to allow the user to generate average frequency or expected percentages from a comparison area to assist in identifying "hot spots" for further analysis.

Traffic Counts

Traffic count AADT's are required in order to develop crash rates for the state and local system. The Department has complete traffic volume data for almost 44,000 miles of the approximately 117,000 miles of highway in New York. The remaining 73,000 miles are primarily local streets.

The Department and counties continue to partner in a statewide county traffic count program designed to capture traffic volume data on county owned roads.

The Department took 6,066 traffic counts on over 2878.34 miles of Local Roads. These include a fair number of counts collected as part of a one-time project New York State is doing to help us predict overall travel on the Local Roads for our FHWA annual report (Vehicle Miles of Travel, or VMT). New York State is attempting to have a 10% representation in each Municipality on the Local Roads System between this project and other counts we collect regularly.

After New York State concludes the VMT project, New York State will only be collecting (Ongoing) Local Road counts where there is an at-grade railroad crossing, a bridge, or we have created an HPMS sample site (barring any special requests). We do receive data from other sources such as Counties and MPOs, and some of those data are on Local Roads.

Local Highway Route System

At this point in time, the Department does not have a complete and actively maintained Geographic Information System (GIS) for local roads. Without a local road based GIS route system, it is difficult to conduct an analysis of crash data on the local system with any parity to the state system. A project is

currently underway to build a local GIS system.

Compatibility of State and Local Crash Data Analysis

The current analysis tools in the Department's Safety Information Management System (SIMS) need to be redesigned to work with a uniform GIS route system covering both state and local highways. The new analysis tools will need to be able to handle both local and state traffic volume data and highway characteristic information for all highways. Funding is in place to build these tools (SIMS-RIS-ALIS Integration Project). The redesigned system will be an interoperable system able to link crash and highway information to perform safety problem identification and countermeasure analysis on the local system as is currently being done with the State system.

New Data Projects

The New York State Department of Transportation's Office of Traffic Safety and Mobility is currently initiating several new projects designed to support our Highway Safety Improvement Program by expanding our analysis capabilities and methods to include all public roads in the state and to improve the accuracy and completeness of the safety data used. Much of this work is being accomplished through Section 402 grants received from the Governors Traffic Safety Committee (GTSC).

The first project involves modifications to the Departments existing Accident Location Information System (ALIS). These changes will integrate the ALIS system with the Departments Enterprise Linear Referencing System to provide the necessary traffic volume and highway characteristics needed for the network screening analysis that identifies High Accident Locations (HALS). Additional functionality will be added to incorporate analysis techniques being developed by Federal Highway Administration to identify "systemic" opportunities for improving safety in addition to the HAL locations being treated.

The second project involves the collection of up to date, accurate, reference marker and intersection locations and attributes. This data will be used to support the new crash querying and analysis processes being developed for the Accident Location Information System (ALIS).

The third project is a long term, multi-agency effort to analyze opportunities to create a more complete safety dataset that is accessible to all the partner agencies. This project would determine what data could be linked between agencies, where redundant datasets or resources could be eliminated, and how access for additional users could be created. This project is designed to establish a strategic vision for the "Safety" related programs in New York State.

III. Highway Intersections

Approximately 36% of the fatalities and 45% of the serious injuries due to motor vehicle crashes statewide between 2009 and 2015 occurred at intersections. As can be seen from the graphic below, fatal and serious injuries at intersections have been on somewhat of an upward trend over the past 7 years. As such, improving safety at intersections continues to be an area of focus for NYSDOT. According to NYSDOT's PSS system there were 13 HSIP intersection reconstruction and signal upgrade projects programmed in SFY 15/16. New York is also in the process of developing an Intersection Safety Action Plan with the goal of completing the plan in 2016.

| Intersections | | |
|---------------|------------|------------------|
| Year | Fatalities | Serious Injuries |
| | | |

| | | |
|------|-----|-------|
| 2009 | 390 | 5,534 |
| 2010 | 377 | 5,287 |
| 2011 | 388 | 5,303 |
| 2012 | 411 | 5,290 |
| 2013 | 396 | 5,169 |
| 2014 | 406 | 5,692 |
| 2015 | 431 | 5,515 |

Source: SIMS where intersection_ind="Y" (SIMS preliminary for 2015, data run 4/19/2016)

IV. Travel Lane Departures

Fatalities and Serious Injuries resulting from lane departure crashes have been on a general downward trend over the last 10 years as can be seen from the chart below.

| Lane Departures | | |
|-----------------|------------|------------------|
| Year | Fatalities | Serious Injuries |
| 2006 | 471 | 3,185 |
| 2007 | 440 | 3,308 |
| 2008 | 413 | 3,148 |
| 2009 | 348 | 3,127 |
| 2010 | 437 | 3,133 |
| 2011 | 362 | 2,905 |
| 2012 | 370 | 3,039 |
| 2013 | 383 | 2,808 |
| 2014 | 351 | 2,545 |
| 2015 | 320 | 2,512 |

Despite the downward trend seen above, lane departures still accounted for approximately 25% of all fatal and serious injuries due to motor vehicle crashes over the past 7 years and remains an emphasis area for the department. NYSDOT continues to implement countermeasures and programs to prevent lane departures crashes such as:

- Installing Centerline Audible Roadway Delineators (CARDS) on rural 2 lane roads that meet specific criteria
- Advancing shoulder improvements by incorporating the shoulder wedge joint requirement into Vendor Placed Paving contracts.
- Identifying and treating sections of pavement experiencing unusually high proportions of wet road accidents via the SKARP program
- Implementing site specific projects to correct geometric issues; and
- Identifying roadway characteristics that place roads at a higher risk for lane departure crashes with a goal of implementing additional systemic programs to prevent them. NYSDOT

participated in a systemic analysis pilot with Cambridge Systematics. The pilot identified un-divided rural roads with 2 lanes, 55 mph speed, an AADT between 3000-6000, a shoulder width between 1-3' and a curve radius of 100-300 as having a high risk for lane departure crashes. As a result New York will be considering additional systemic counter measures on curves such as true wet reflective pavement marking, enhanced chevrons and high friction surface treatments in the future.

- Developing a Lane Departure Action Plan with the goal of completing the plan in 2016.

V. Work Zone Safety

In addition to regional and project based quality control and assurance activities, the Main Office conducts annual work zone safety inspections in each region to assess the overall quality of work zone traffic control statewide. Opportunities for improvement are identified and implemented via new policies, guidance, specifications or increased contract enforcement.

Accident data on construction and maintenance work zones are also tracked to help identify any accident trends. Work Zone Intrusions have varied over the last 5 years as shown in the table below.

| Work Zone Intrusions | |
|----------------------|----|
| Year | # |
| 2011 | 45 |
| 2012 | 51 |
| 2013 | 67 |
| 2014 | 40 |
| 2015 | 77 |

VI. System-wide Treatments

Centerline Audible Roadway Delineators

In 2010 the Department issued EI-10-030 - Rumble Strips - Centerline Audible Roadway Delineators (CARDS) - Guidance and Policy. This policy lays out the framework and criteria for installing centerline rumble-strips on eligible roads across the state. Any project that places at least 40mm of asphalt and meets the geometric/operating criteria is required to install CARDS as part of the project. Because of the low cost and proven effectiveness of centerline rumble strips, this new policy is an important tool in reducing both head-on and run-off road crashes. As of end March 2016, approximately 3,454.3 miles of CARDS have been installed.

Pedestrian Countdown Timers

Pedestrian crashes account for about 25% of all fatal crashes in New York and remain an emphasis area in New York State's Strategic Highway Safety Program. The goal for pedestrian countdown timers is to ensure that they are installed at ALL eligible state owned signals. As of end March 2016, countdown timers have been installed at approximately 2,617 (82.5%) of the 3,172 eligible signals.

VII. Other

Safety Appurtenance Program (SAFETAP)

The SAFETAP, based on a Road Safety Audit approach, is a Department Program designed to ensure that roadside safety considerations are incorporated in the Department's Preventive Maintenance single course overlay projects. Under SAFETAP, a team of agency experts conduct a project review of Preventive Maintenance Paving project sites for the purpose of deciding upon simple, low cost safety improvements to be implemented at the time of construction, or soon after construction. Over 9,800 safety recommendations have been made since SFY 12/13 and approximately 5,400 of the recommendations have been completed.

Skid Accident Reduction Program (SKARP)

The SKARP program incorporates safety considerations into pavement maintenance activities. SKARP identifies sections of pavement experiencing an unusually high proportion of wet road accidents; friction tests them and schedules treatment for sections experiencing both high wet road accidents and low friction numbers. The treatment generally involves resurfacing with 1½" top course (or ½" micro surfacing) containing non-polishing aggregates. The integrated approach used by NYSDOT in implementing SKARP involves close coordination among the Office of Traffic and Safety which has overall program monitoring and evaluation responsibilities, the Technical Services Division, which has assumed responsibility for friction testing and materials issues, and the Department's eleven Regional Offices, which have responsibility for undertaking the remedial treatments.

The frictional quality of NYSDOT owned pavements has improved since the programs inception. A summary of PIL testing from 1996 through 2014 shows a decline in the number of sites requiring treatment, from 91 sites in 1996 to 20 sites in 2015.

Shoulder Wedge Joints

NYSDOT has incorporated the shoulder wedge joint requirement into Vendor Place Paving contracts. The installation of shoulder wedge joints in paving applications provides a ramp type pavement edge. The wedge reduces sudden loss of vehicle control by the driver due to vertical drop off.

Traffic Control Signals

In addition to the Pedestrian Countdown timers noted above, NYSDOT continues to deploy "2070" traffic signal controllers. This allows the Department to adopt the National Transportation Communications for ITS Protocol (NTCIP) Standards, deploy closed loop systems to monitor/operate signals remotely from Transportation Management Centers as well as operate other communication technologies (variable message signs, radio, video cameras, etc.) to improve the safety and performance of the highway corridor.

Pedestrian and Bicycle Unit

NYSDOT's Pedestrian and Bicycle Unit has been reorganized within the Office of Traffic Safety's Safety Program and Management Bureau to further align coordination of these alternative modes with the Department's core safety programs and mission. The Pedestrian and Bicycle Unit has been expanded to

three FTE. The Unit has been working to develop a strategic planning dialogue with the Pedestrian and Bicycle Coordinators from NYSDOT's 11 Regions.

The Unit provides coordination and staff support for the Department's pedestrian safety initiatives and is managing an over-haul of New York's bicycle map. The bicycle map effort is resourced under NYSDOT's Integrated Regional and Employer Based Travel Demand Management (TDM) and Active Demand Management Services in Support of an Active Transportation Demand Management (ATDM) Program Services contract for NYSDOT contract, Statewide Task 11, Assignment #3 – Integration of the Bicycle Network Information into TDM Outreach tools. The project will integrate the existing bicycle network information in a single data layer and engage stakeholders in defining specific data and system requirements that will enable a more flexible and efficient management of bicycle travel options.

Progress in Implementing Projects

Funds Programmed

Reporting period for Highway Safety Improvement Program funding.

State Fiscal Year

Enter the programmed and obligated funding for each applicable funding category.

| Funding Category | Programmed* | | Obligated | |
|---|------------------|------|------------------|------|
| HSIP (Section 148) | \$48,385,650.00 | 27 % | \$46,224,650.00 | 27 % |
| HRRRP (SAFETEA-LU) | \$15,268.00 | 0 % | \$15,268.00 | 0 % |
| Other Federal-aid Funds (i.e. STP, NHPP) | \$58,739,105.00 | 33 % | \$58,675,105.00 | 34 % |
| State and Local Funds | \$69,205,373.00 | 39 % | \$65,505,373.00 | 38 % |
| Totals | \$176,345,396.00 | 100% | \$170,420,396.00 | 100% |

How much funding is programmed to local (non-state owned and operated) safety projects?

\$22,600,541.00

How much funding is obligated to local safety projects?

\$21,867,541.00

How much funding is programmed to non-infrastructure safety projects?

\$6,634,921.00

How much funding is obligated to non-infrastructure safety projects?

\$2,955,301.00

How much funding was transferred in to the HSIP from other core program areas during the reporting period?

\$0.00

How much funding was transferred out of the HSIP to other core program areas during the reporting period?

\$0.00

Discuss impediments to obligating Highway Safety Improvement Program funds and plans to overcome this in the future.

Impediments to obligating HSIP funds include project delays for reasons not limited to just safety projects such as environmental approvals, right of way/easement issues, community issues, other funding needs, resource issues, historic issues, NYS permit issues etc. In addition, the Federal Obligation Limitation that exists on all Federal funding also serves as an impediment to obligating safety funds. The following describes some of the approaches used to overcome those obstacles for HSIP projects.

Statewide Solicitation Program

The application process for the statewide HSIP solicitation program, which currently accounts for 50% of the HSIP program, requires an applicant to identify all potential barriers to a timely implementation. The barriers are one of the factors taken into consideration during the project selection process. Thus, a project with good safety benefits but significant impediments to a timely implementation may be denied funding in favor of another safety project with less risk.

Design Services Agreement

Design resources are sometimes limited at the regional level especially for larger projects. The

department implemented a statewide regional design services agreement that can be used to fund contract services to assist with design or other urgent safety project needs. The contract is funded via HSIP dollars specifically set aside for that purpose.

Marchiselli

The department will continue to support programs such as the Marchiselli Highway Improvement Program which provides funding assistance to local municipalities for approved projects. The Marchiselli program requires state and local governments to share in the cost of approved local projects. The projects are typically funded in shares of 80% Federal, 15% State and 5% local.

Low Cost Counter Measures

The NYSDOT is encouraging and implementing more low cost and systemic safety counter measures which typically have less impediments to a timely implementation.

Describe any other aspects of the general Highway Safety Improvement Program implementation progress on which you would like to elaborate.

No additional information regarding HSIP progress.

General Listing of Projects

List each highway safety improvement project obligated during the reporting period.

| Project | Improvement Category | Output | HSIP Cost | Total Cost | Funding Category | Functional Classification | AADT | Speed | Roadway Ownership | Relationship to SHSP | |
|--|----------------------|--------|-----------|------------|------------------|---------------------------|------|-------|-------------------|----------------------|----------|
| | | | | | | | | | | Emphasis Area | Strategy |
| See main menu question #23. Project list attached. | | | | | | | | | | | |

Progress in Achieving Safety Performance Targets

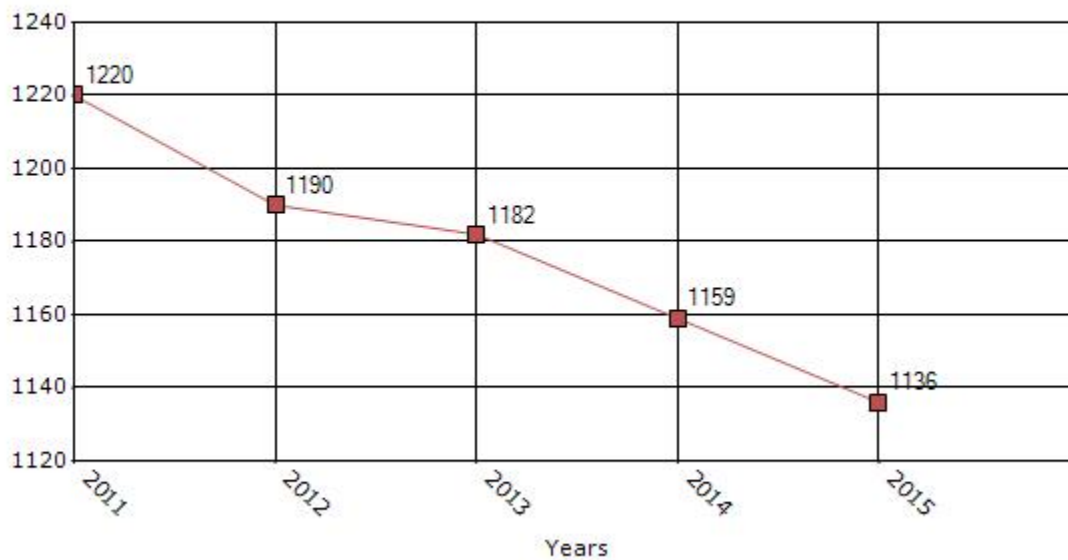
Overview of General Safety Trends

Present data showing the general highway safety trends in the state for the past five years.

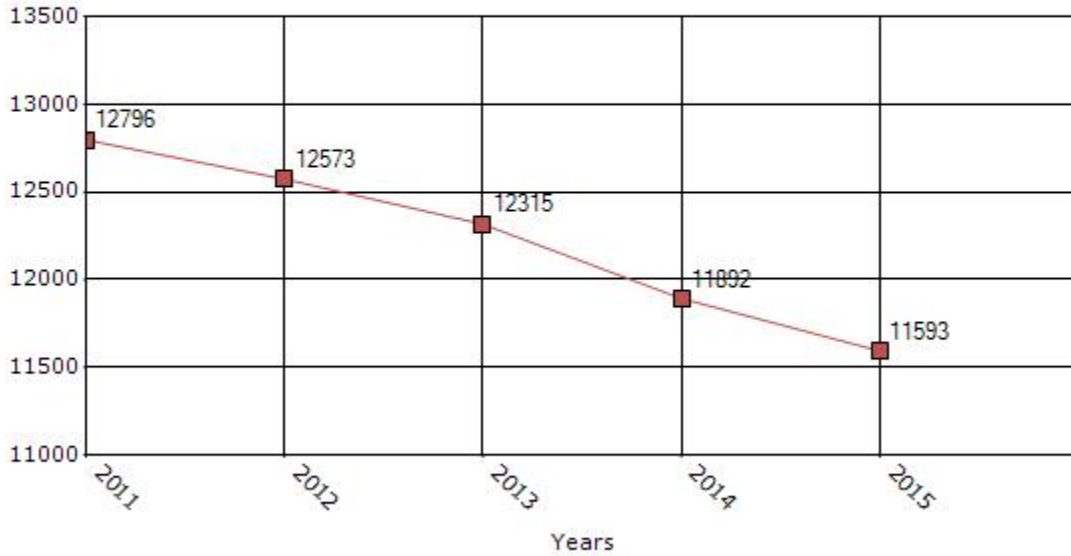
| Performance Measures* | 2011 | 2012 | 2013 | 2014 | 2015 |
|---------------------------------|-------|-------|-------|-------|-------|
| Number of fatalities | 1220 | 1190 | 1182 | 1159 | 1136 |
| Number of serious injuries | 12796 | 12573 | 12315 | 11892 | 11593 |
| Fatality rate (per HMVMT) | 0.92 | 0.91 | 0.91 | 0.9 | 0.89 |
| Serious injury rate (per HMVMT) | 9.65 | 9.61 | 9.47 | 9.21 | 9.03 |

*Performance measure data is presented using a five-year rolling average.

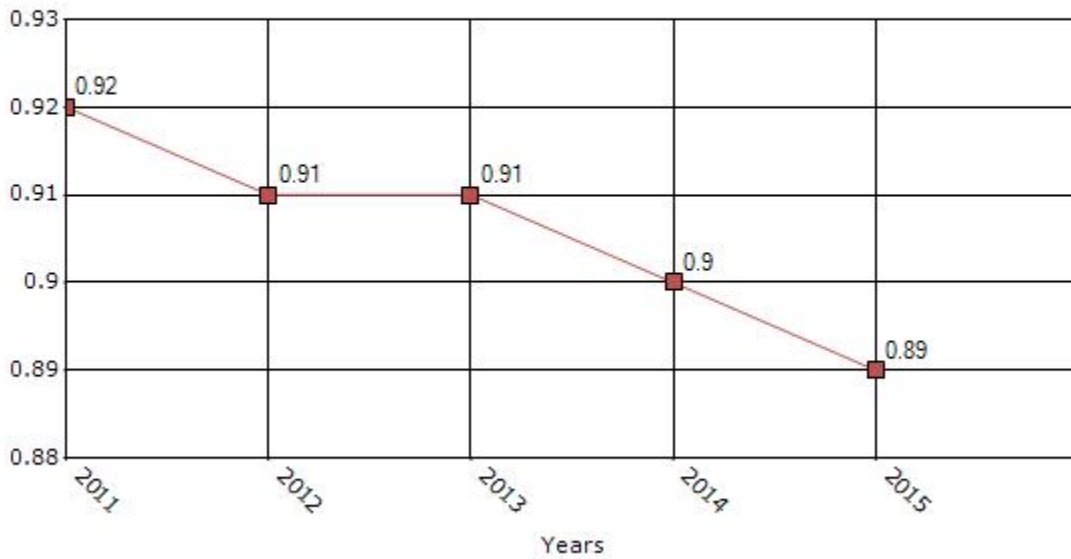
Number of Fatalities for the Last Five Years
5-yr Average Measure Data



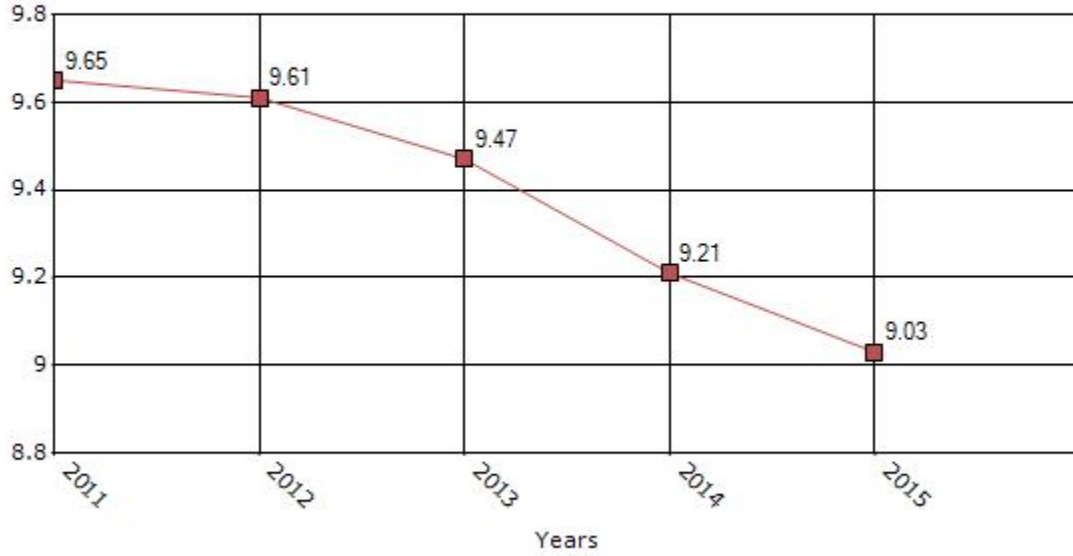
Number of Serious Injuries for the Last Five Years 5-yr Average Measure Data



Rate of Fatalities for the Last Five Years 5-yr Average Measure Data



Rate of Serious Injuries for the Last Five Years 5-yr Average Measure Data



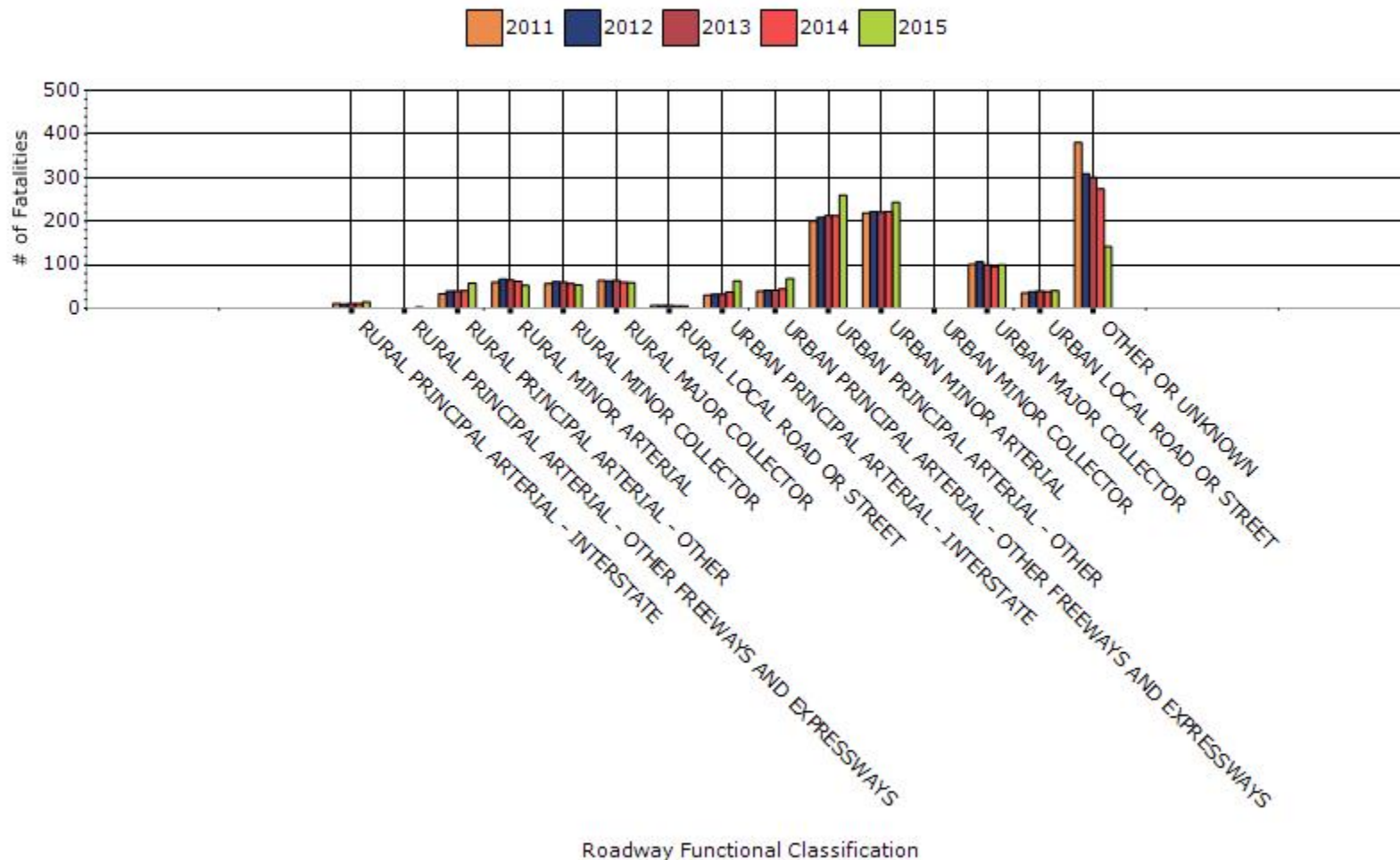
To the maximum extent possible, present performance measure* data by functional classification and ownership.

Year - 2015

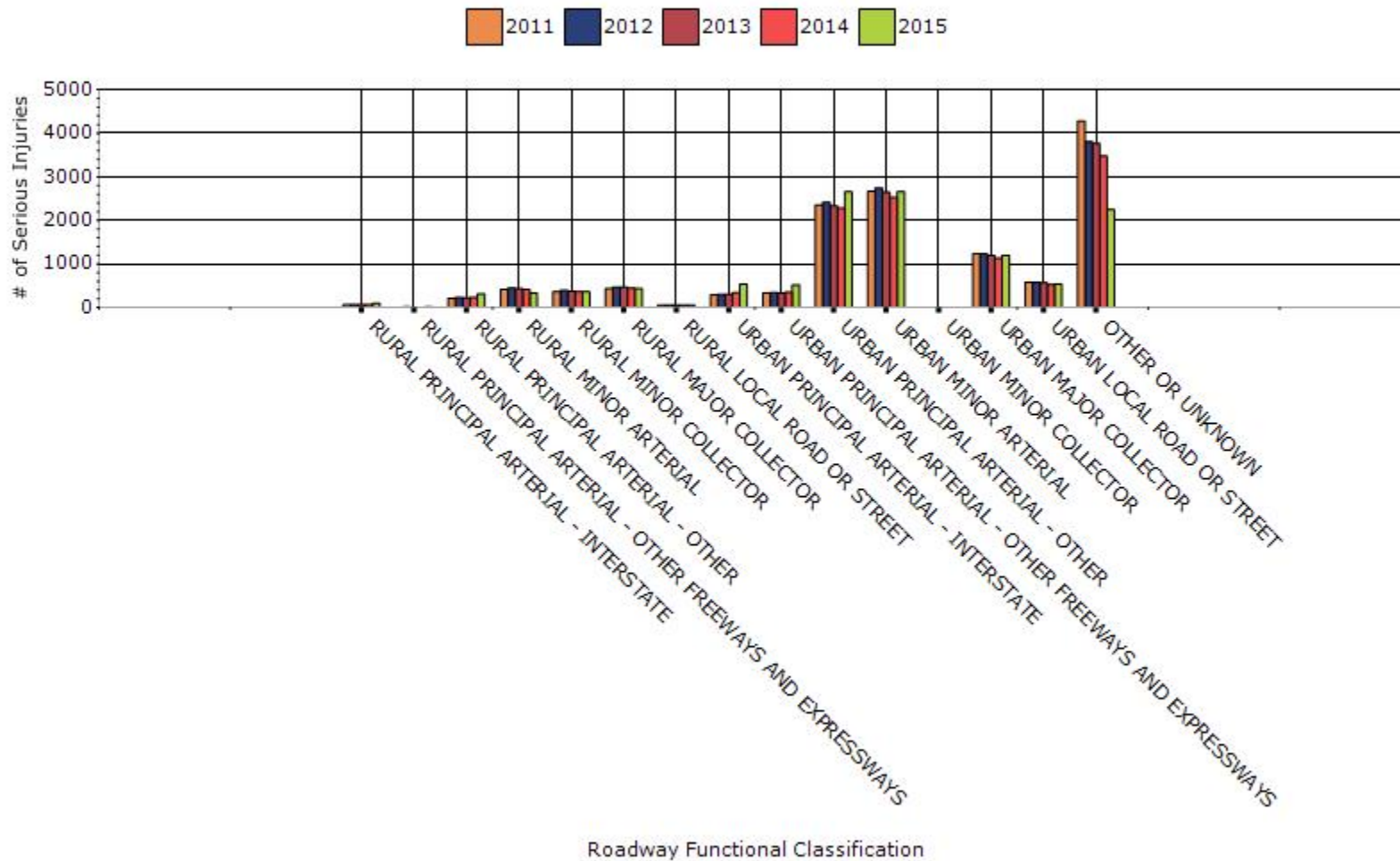
| Function Classification | Number of fatalities | Number of serious injuries | Fatality rate (per HMVMT) | Serious injury rate (per HMVMT) |
|--|----------------------|----------------------------|---------------------------|---------------------------------|
| RURAL PRINCIPAL ARTERIAL - INTERSTATE | 14.8 | 94.4 | | |
| RURAL PRINCIPAL ARTERIAL - OTHER FREEWAYS AND EXPRESSWAYS | 2.2 | 13.6 | | |
| RURAL PRINCIPAL ARTERIAL - OTHER | 57.4 | 314.8 | | |
| RURAL MINOR ARTERIAL | 52.4 | 332.4 | | |
| RURAL MINOR COLLECTOR | 54.2 | 360.6 | | |
| RURAL MAJOR COLLECTOR | 59.2 | 432.8 | | |
| RURAL LOCAL ROAD OR STREET | 5.4 | 55.8 | | |
| URBAN PRINCIPAL ARTERIAL - INTERSTATE | 62.6 | 533.2 | | |

| | | | | |
|--|-------|--------|--|--|
| URBAN PRINCIPAL ARTERIAL - OTHER FREEWAYS AND EXPRESSWAYS | 68 | 520.8 | | |
| URBAN PRINCIPAL ARTERIAL - OTHER | 259.6 | 2655.6 | | |
| URBAN MINOR ARTERIAL | 243.4 | 2659.2 | | |
| URBAN MAJOR COLLECTOR | 100.2 | 1197.8 | | |
| URBAN LOCAL ROAD OR STREET | 40.6 | 538.2 | | |
| OTHER OR UNKNOWN | 141.6 | 2245.8 | | |

Fatalities by Roadway Functional Classification 5-yr Average Measure Data



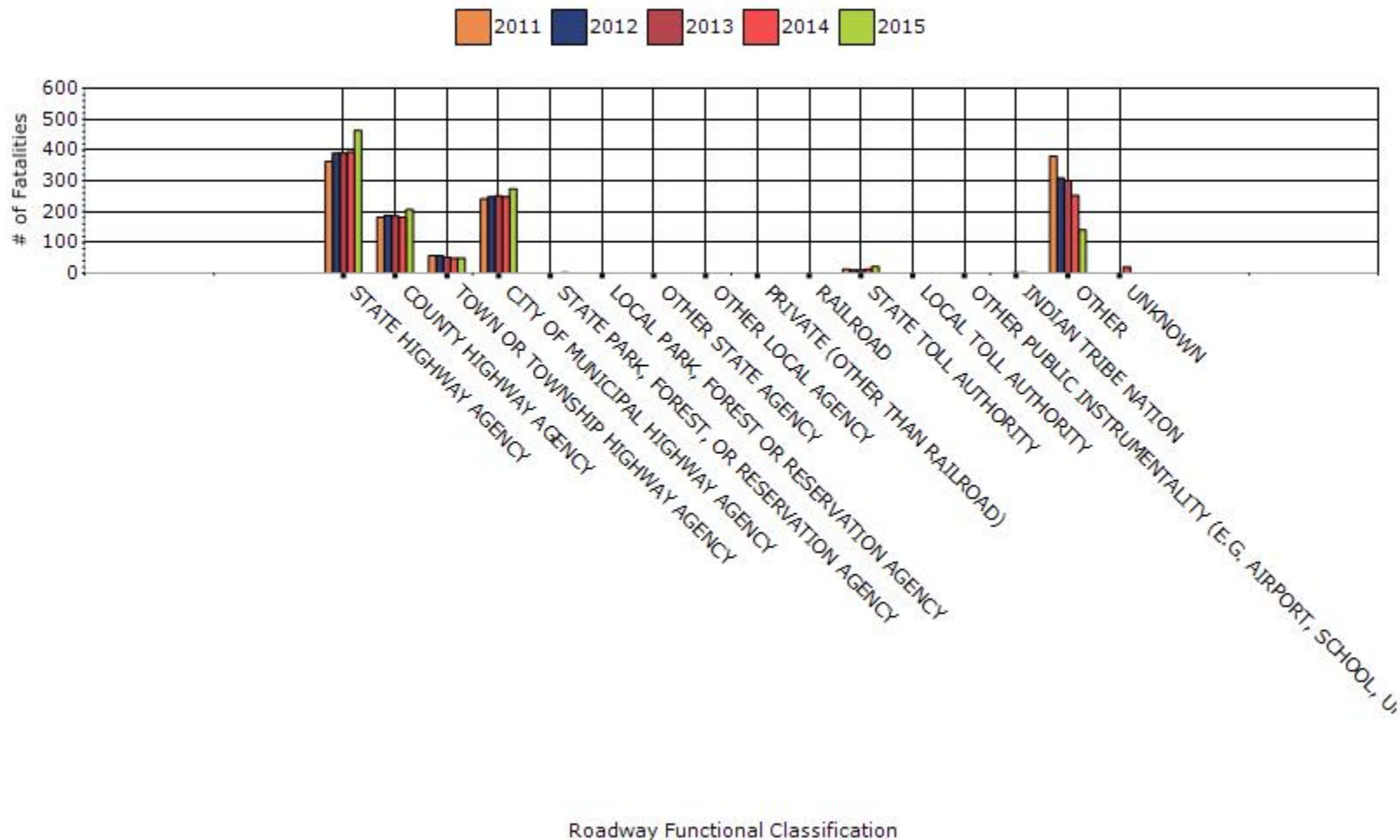
Serious Injuries by Roadway Functional Classification 5-yr Average Measure Data



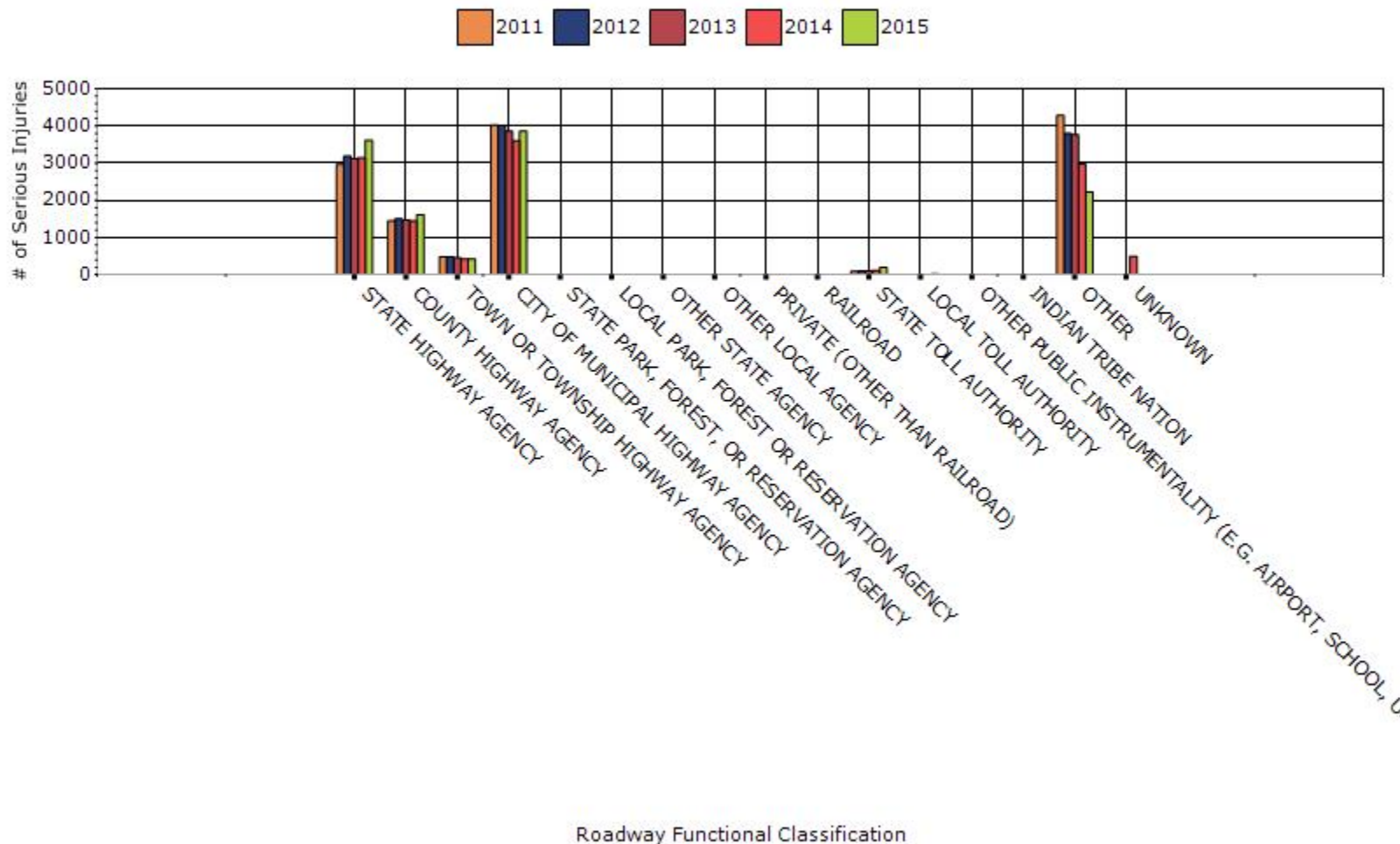
Year - 2015

| Roadway Ownership | Number of fatalities | Number of serious injuries | Fatality rate (per HMVMT) | Serious injury rate (per HMVMT) |
|---|----------------------|----------------------------|---------------------------|---------------------------------|
| STATE HIGHWAY AGENCY | 463.8 | 3612.2 | | |
| COUNTY HIGHWAY AGENCY | 206.8 | 1614 | | |
| TOWN OR TOWNSHIP HIGHWAY AGENCY | 49.4 | 424.4 | | |
| CITY OF MUNICIPAL HIGHWAY AGENCY | 274.4 | 3859.8 | | |
| STATE PARK, FOREST, OR RESERVATION AGENCY | 1.8 | 6.2 | | |
| LOCAL PARK, FOREST OR RESERVATION AGENCY | | 0.4 | | |
| OTHER STATE AGENCY | | 0.4 | | |
| OTHER LOCAL AGENCY | 0.4 | 2.4 | | |
| STATE TOLL AUTHORITY | 21.6 | 190.6 | | |
| LOCAL TOLL AUTHORITY | 0.6 | 13.8 | | |
| INDIAN TRIBE NATION | 1.4 | 6.4 | | |
| OTHER | 141.4 | 2224.4 | | |

Number of Fatalities by Roadway Ownership 5-yr Average Measure Data



Number of Serious Injuries by Roadway Ownership 5-yr Average Measure Data



Describe any other aspects of the general highway safety trends on which you would like to elaborate.

Since 2000 the number of fatal crashes in New York State has been on a general downward trend. The number of fatalities dropped from 1,454 in 2006 to 1,139 in 2015. The fatality rate per 100 million vehicle miles traveled (VMT) decreased from 1.03 in 2006 to .86 in 2015. New York's fatality rate per 100 Million Vehicle Miles Traveled has been below the national level every year between 2000 and 2015.

The number of serious injuries has also been on a downward trend. The number of serious injuries in New York decreased from 13,174 in 2006 to 11,309 in 2015*.

* Source: HSSP Reports except 2015 (SIMS Preliminary data run 4/19/2016)

Application of Special Rules

Present the rate of traffic fatalities and serious injuries per capita for drivers and pedestrians over the age of 65.

| Older Driver Performance Measures | 2010 | 2011 | 2012 | 2013 | 2014 |
|---|------|------|------|------|------|
| Fatality rate (per capita) | 1.68 | 1.58 | 1.54 | 1.5 | 1.5 |
| Serious injury rate (per capita) | 7.66 | 7.6 | 7.54 | 7.62 | 7.5 |
| Fatality and serious injury rate (per capita) | 9.3 | 9.14 | 9.04 | 9.1 | 8.98 |

*Performance measure data is presented using a five-year rolling average.

2014 Rolling Average Calculation:

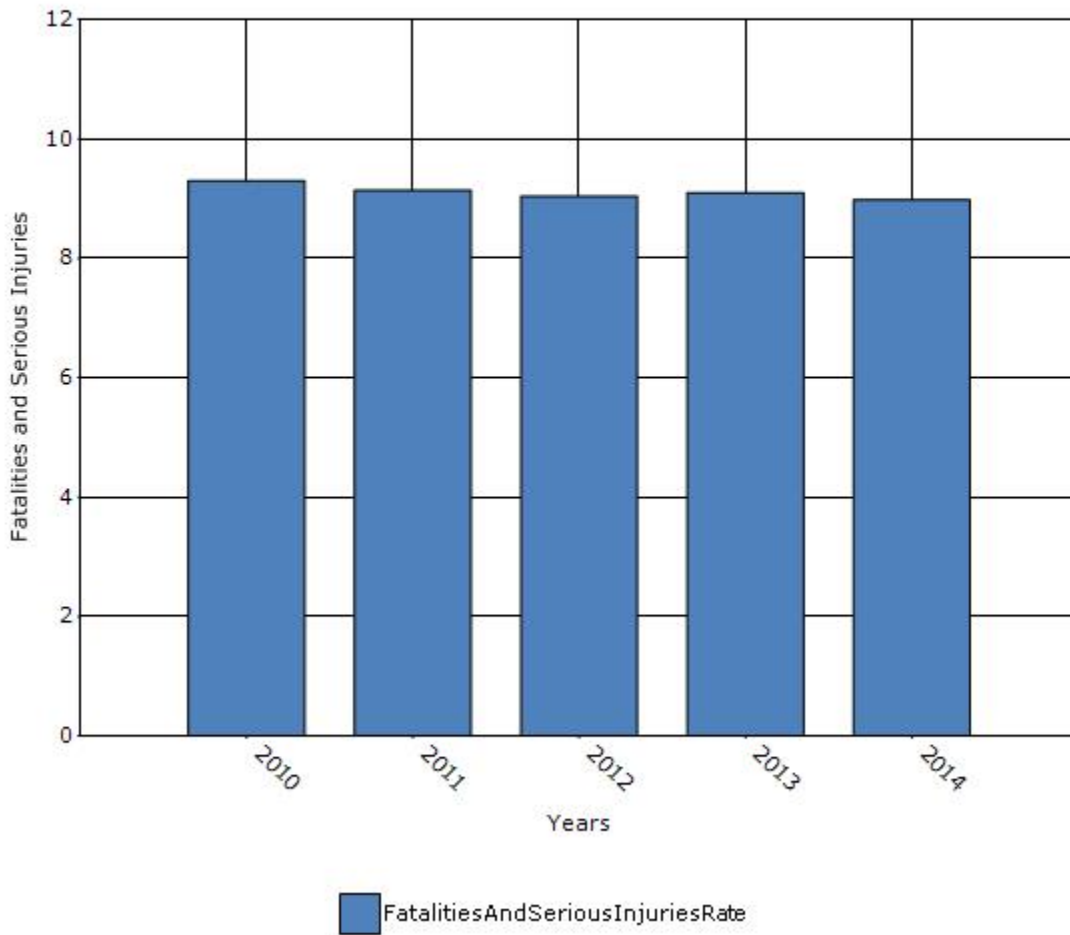
$(F+SI) \text{ 2014 Drivers and Pedestrians 65 years of age and older/2014 Population Figure} + (F+SI) \text{ 2013 Drivers and Pedestrians 65 years of age and older/2013 Population Figure} + (F+SI) \text{ 2012 Drivers and Pedestrians 65 years of age and older/2012 Population Figure} + (F+SI) \text{ 2011 Drivers and Pedestrians 65 years of age and older/2011 Population Figure} + (F+SI) \text{ 2010 Drivers and Pedestrians 65 years of age and older/2010 Population Figure} / 5 = \mathbf{8.98}$

Note: 2015 data is not available

2012 Rolling Average Calculation:

$(F+SI)_{2012} \text{ Drivers and Pedestrians } 65 \text{ years of age and older} / 2012 \text{ Population Figure} + (F+SI)_{2011} \text{ Drivers and Pedestrians } 65 \text{ years of age and older} / 2011 \text{ Population Figure} + (F+SI)_{2010} \text{ Drivers and Pedestrians } 65 \text{ years of age and older} / 2010 \text{ Population Figure} + (F+SI)_{2009} \text{ Drivers and Pedestrians } 65 \text{ years of age and older} / 2009 \text{ Population Figure} + (F+SI)_{2008} \text{ Drivers and Pedestrians } 65 \text{ years of age and older} / 2008 \text{ Population Figure} / 5 = 9.04$

Rate of Fatalities and Serious injuries for the Last Five Years
5-yr Average Measure Data



Does the older driver special rule apply to your state?

No

Assessment of the Effectiveness of the Improvements (Program Evaluation)

What indicators of success can you use to demonstrate effectiveness and success in the Highway Safety Improvement Program?

Other-Decrease in fatalities and Injuries Numbers and Rates

What significant programmatic changes have occurred since the last reporting period?

Other-NYSDOT continues to include local roads in the HSIP program; implement projects to decrease fatal and serious injuries and implement the strategies outlined in the SHSP.

Other-NYSDOT announced the first ever statewide Pedestrian Safety Action Plan in June 2016. The plan includes low cost systemic improvements at thousands of intersections and mid-block crosswalks.

Briefly describe significant program changes that have occurred since the last reporting period.

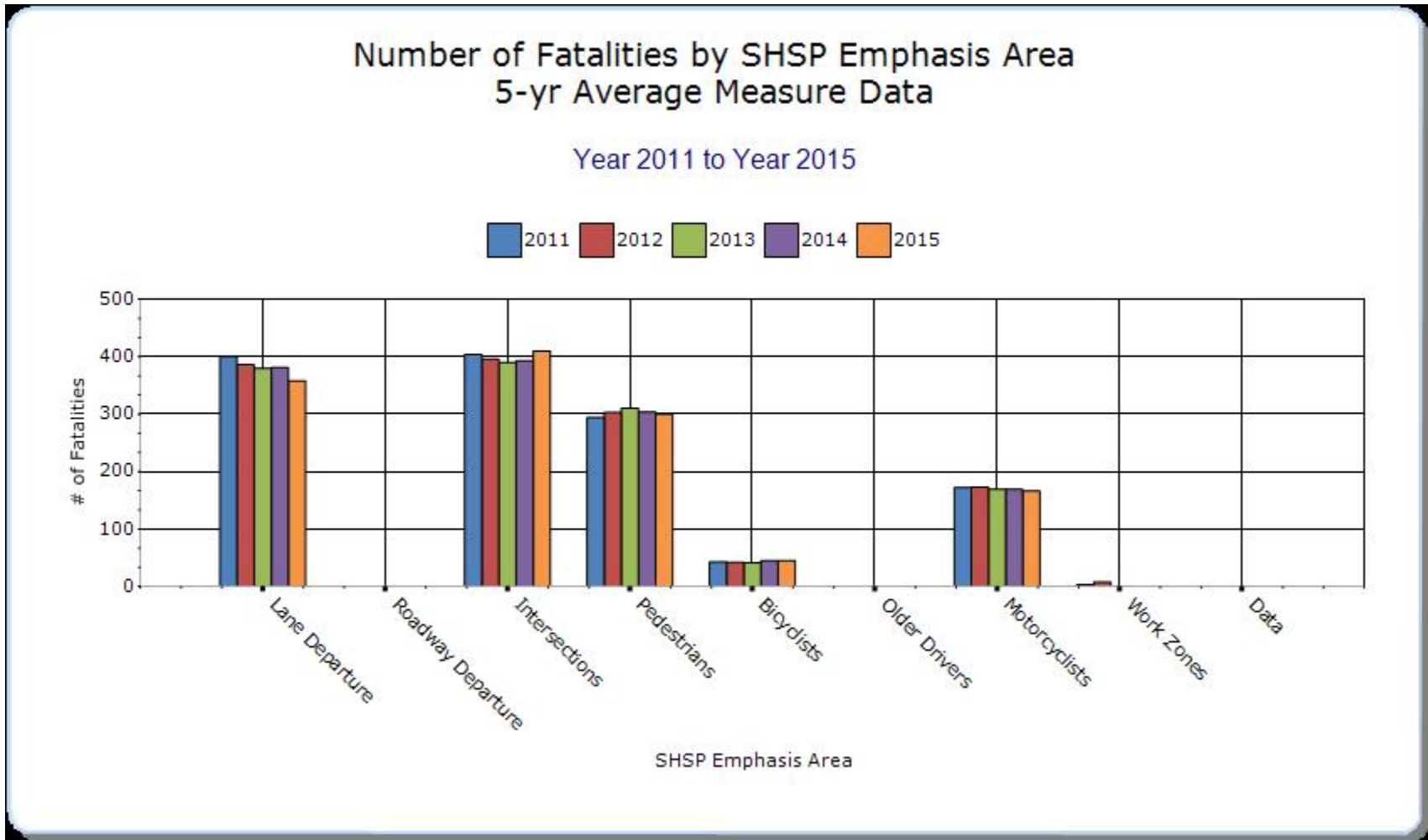
New York State is continuing with the development of the Intersection and Lane Departure Safety Action Plans. The Pedestrian Action Plan was completed and released June 2016. It included pedestrian and vehicle improvements at signalized intersections and pedestrian improvements at mid block crossings. The Strategic Highway Safety Plan will be updated in 2017.

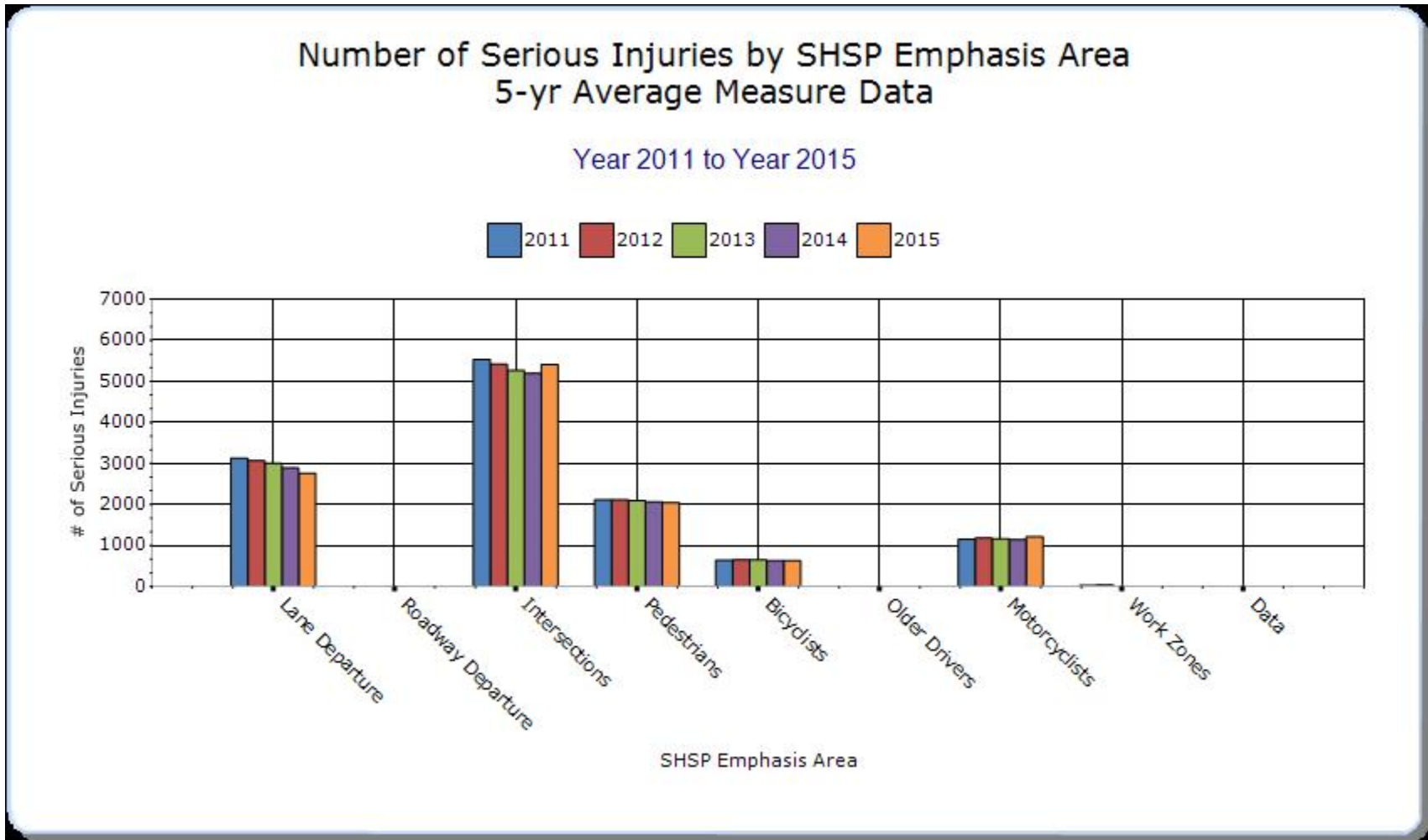
SHSP Emphasis Areas

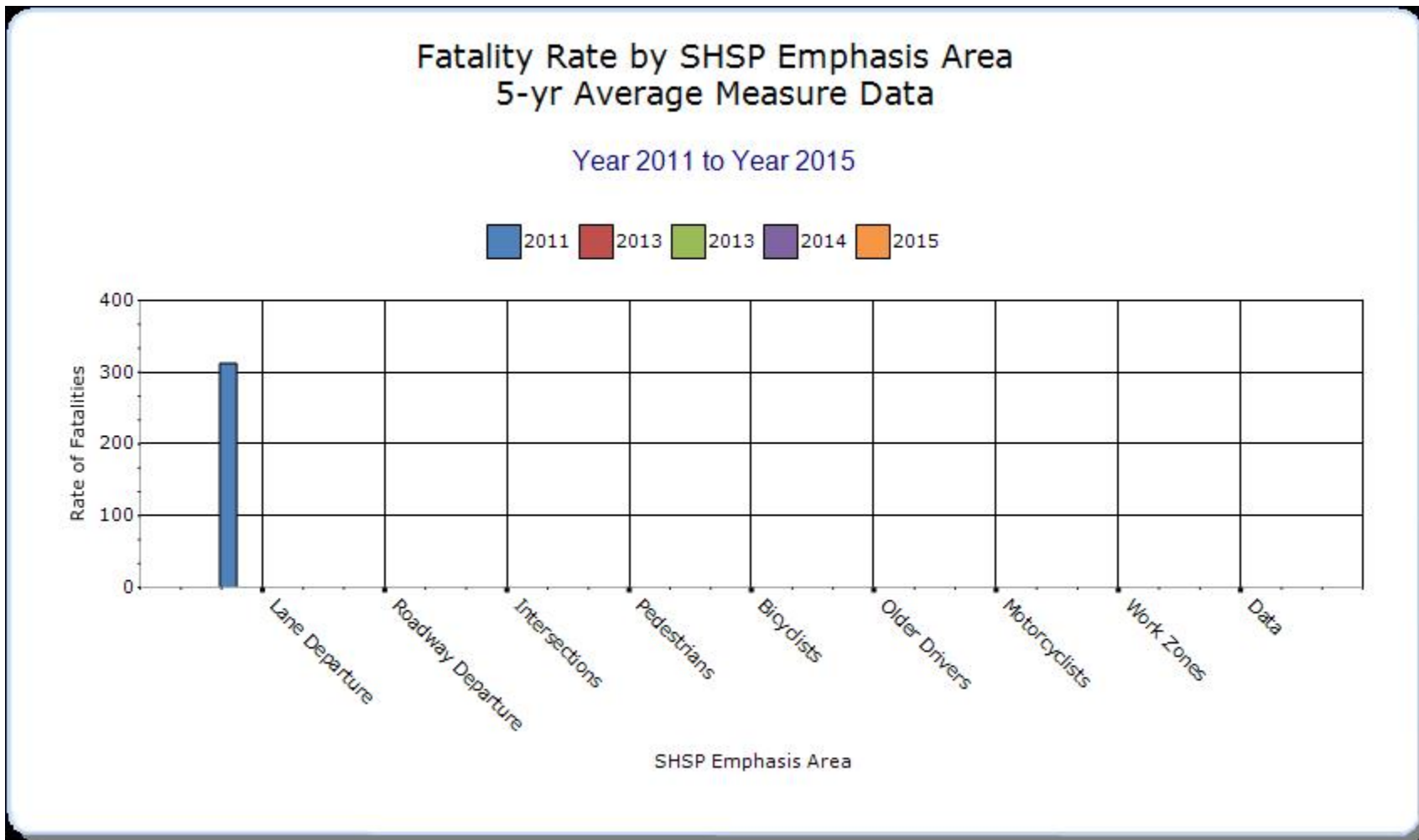
For each SHSP emphasis area that relates to the HSIP, present trends in emphasis area performance measures.

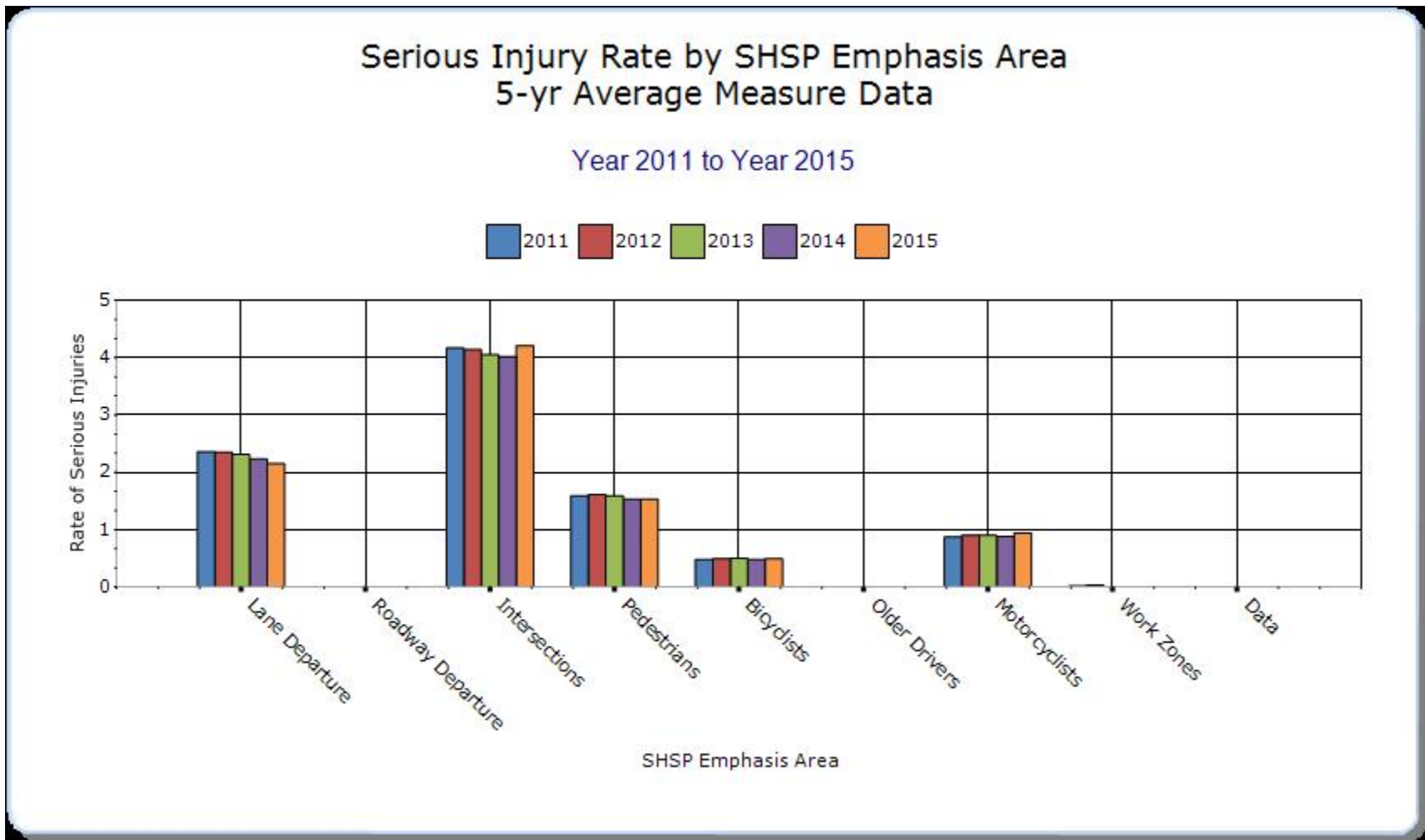
Year - 2015

| HSIP-related SHSP Emphasis Areas | Target Crash Type | Number of fatalities | Number of serious injuries | Fatality rate (per HMVMT) | Serious injury rate (per HMVMT) | Other-1 | Other-2 | Other-3 |
|----------------------------------|-------------------|----------------------|----------------------------|---------------------------|---------------------------------|---------|---------|---------|
| Lane Departure | | 358 | 2763 | 0.28 | 2.15 | | | |
| Intersections | | 410 | 5407 | 0.32 | 4.21 | | | |
| Pedestrians | | 300 | 2042 | 0.23 | 1.53 | | | |
| Bicyclists | | 45 | 633 | 0.03 | 0.49 | | | |
| Motorcyclists | | 166 | 1209 | 0.13 | 0.94 | | | |









Groups of similar project types

Present the overall effectiveness of groups of similar types of projects.

| HSIP Sub-program Types | Target Crash Type | Number of fatalities | Number of serious injuries | Fatality rate (per HMVMT) | Serious injury rate (per HMVMT) | Other-1 | Other-2 | Other-3 |
|------------------------|----------------------|----------------------|----------------------------|---------------------------|---------------------------------|---------|---------|---------|
| | | | | | | | | |
| SKIP | Question is optional | | | | | | | |

Systemic Treatments

Present the overall effectiveness of systemic treatments.

| Systemic improvement | Target Crash Type | Number of fatalities | Number of serious injuries | Fatality rate (per HMVMT) | Serious injury rate (per HMVMT) | Other-1 | Other-2 | Other-3 |
|----------------------|----------------------------|----------------------|----------------------------|---------------------------|---------------------------------|---------|---------|---------|
| | | | | | | | | |
| SKIP | This question is optional. | | | | | | | |

Describe any other aspects of the overall Highway Safety Improvement Program effectiveness on which you would like to elaborate.

No additional items to report at this time.

Project Evaluation

Provide project evaluation data for completed projects (optional).

| Location | Functional Class | Improvement Category | Improvement Type | Bef-Fatal | Bef-Serious Injury | Bef-All Injuries | Bef-PDO | Bef-Total | Aft-Fatal | Aft-Serious Injury | Aft-All Injuries | Aft-PDO | Aft-Total | Evaluation Results (Benefit/ Cost Ratio) |
|--------------------------------------|------------------|----------------------|------------------|-----------|--------------------|------------------|---------|-----------|-----------|--------------------|------------------|---------|-----------|--|
| Optional Project Evaluation Question | | | | | | | | | | | | | | |

Optional Attachments

Sections

**Progress in Implementing Projects: General
Listing of Projects**

Files Attached

[HSIP-HRRR Obligations and Adv Const 2006-
Present 3-31-2016.xls](#)

Glossary

5 year rolling average means the average of five individual, consecutive annual points of data (e.g. annual fatality rate).

Emphasis area means a highway safety priority in a State's SHSP, identified through a data-driven, collaborative process.

Highway safety improvement project means strategies, activities and projects on a public road that are consistent with a State strategic highway safety plan and corrects or improves a hazardous road location or feature or addresses a highway safety problem.

HMVMT means hundred million vehicle miles traveled.

Non-infrastructure projects are projects that do not result in construction. Examples of non-infrastructure projects include road safety audits, transportation safety planning activities, improvements in the collection and analysis of data, education and outreach, and enforcement activities.

Older driver special rule applies if traffic fatalities and serious injuries per capita for drivers and pedestrians over the age of 65 in a State increases during the most recent 2-year period for which data are available, as defined in the Older Driver and Pedestrian Special Rule Interim Guidance dated February 13, 2013.

Performance measure means indicators that enable decision-makers and other stakeholders to monitor changes in system condition and performance against established visions, goals, and objectives.

Programmed funds mean those funds that have been programmed in the Statewide Transportation Improvement Program (STIP) to be expended on highway safety improvement projects.

Roadway Functional Classification means the process by which streets and highways are grouped into classes, or systems, according to the character of service they are intended to provide.

Strategic Highway Safety Plan (SHSP) means a comprehensive, multi-disciplinary plan, based on safety data developed by a State Department of Transportation in accordance with 23 U.S.C. 148.

Systematic refers to an approach where an agency deploys countermeasures at all locations across a system.

Systemic safety improvement means an improvement that is widely implemented based on high risk roadway features that are correlated with specific severe crash types.

Transfer means, in accordance with provisions of 23 U.S.C. 126, a State may transfer from an apportionment under section 104(b) not to exceed 50 percent of the amount apportioned for the fiscal year to any other apportionment of the State under that section.